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|  |                   | Application Number                  | 09/921,118   |
| TRANSMITTAL<br>FORM                                  |                   | Filing Date                         | 08/02/2001   |
|  |                   | First Named Inventor                | Roger L. Schultz   |
| (to be used for all correspondence after             | ·initial filing)  | Group Art Unit                      | 3662   |
|  |                   | Examiner Name                       |  |
| Total Number of Pages in This Submis                 | ssion             | Attorney Docket Number              | 990114U1USA  |
|  | ENCI              | OSURES (check                       | all that apply)  |
| X Fee Transmittal Form                               |                   | ment Papers<br>Application)         | After Allowance Communication to Group                     |
| Fee Attached   | Drawing           | g(s)                                | Appeal Communication to Board of Appeals and Interferences |

|  |                                 | ENCL                          | OSURES                             | (check a                                    | all that apply)   |  |
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| X Fee Transmittal For                                    | ,                               | Assignm (for an A             | ent Papers<br>pplication)          |   | After Allowance Communication to Group                            |  |
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| Amendment / Reply  | 1                               | Licensin                      | g-related Papers                   |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |
| After Final  |                                 | Petition                      |                                    |   | Proprietary Information   |  |
| Affidavits/de  | claration(s)                    |                               | to Convert to a<br>nal Application |   | Status Letter   |  |
| Extension of Time F                                      | Request                         | Power of Attorney, Revocation |                                    | Other Enclosure(s) (please identify below): |   |  |
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| Incomplete Applicat                                      | tion                            |                               |                                    |   | <b>GROUP 3600</b>   |  |
|  | Missing Parts<br>R 1.52 or 1.53 |                               |                                    |   |   |  |
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| Firm<br>or   |                                 |                               |                                    |   | • .   |  |
| Individual name Crutsinger & Booth by Peter V. Schroeder |                                 |                               |                                    |   |   |  |
| Signature That Tolerves                                  |                                 |                               |                                    |   |   |  |
| Date   | March 6, 2003                   |                               |                                    |   |   |  |
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| COMA  | Substitute for form 1449/PTO KOFFICE SUPPLEMENTAL                     | Application Number   | 09/921,118   |  |
| -     | INFORMATION DISCLOSURE  | Filing Date  | 08/02/2001   |  |
|       |   | First Named Inventor                                       | Roger L. Schultz   |  |
|       | STATEMENT BY APPLICANT  | Art Unit   | 3662   |  |
|       | (Use as many sheets as necessary)                                     | Examiner Name  |  |  |
|       |   | Attorney Docket Number                                     | 000114 111 115Δ  |  |

|                       |              |  | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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|-----------------------|--------------------------|--|---------------------|--|---|----------------|--|--|
| Examiner<br>Initials* | Cite<br>No.1             | Foreign Patent Document  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |  |  |
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T <sup>6</sup> |  |  |
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| Examiner  | Date       |  |
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| Signature | Considered |  |
| Signature |            |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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pplication of:

Attorney Docket No.: 990114U1USA

Roger L. Schultz

Art Unit: 3662

Serial No.

09/921,118

Filed:

August 2, 2001

For:

ADAPTIVE ACOUSTIC TRANSMITTER

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97, and 1.98 and MPEP 609, Applicant submits herewith a completed Supplemental Form PTO/SB/08A, "Supplemental Information Disclosure Citation."

A copy of each document listed on this Supplemental Form PTO/SB/08A is also submitted.

Consideration by the Examiner of each of the documents listed on Supplemental Form PTO/SB/08A is respectfully requested. Applicant respectfully requests that a copy of Supplemental Form PTO/SB/08A, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR § 1.97(g). Furthermore, the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that the information cited in this Supplemental Statement is, or is considered to be, prior art, analogous art, or material to patentability of this application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with. 37 CFR § 1.97(h).

This Supplemental Information Disclosure Statement is being filed before the Applicant is aware of any mailing date of a first Office Action on the merits. Applicant does not believe that a certification or fee is required for this Supplemental Information Disclosure Statement.

The Commissioner of Patents and Trademarks is hereby authorized to charge any fees relating to this Supplemental Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No. 03-3840. A duplicate copy of this fee authorization sheet is enclosed for this purpose. Date: March 6, 2003

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Signature

March 6, 2003

Date of Signature

Respectfully submitted,

Peter V. Schroeder, Reg. No. 42,132 CRUTSINGER & BOOTH

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Attorneys for Applicant

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